

1. Let us consider the functional

$$F(u) = \int_0^1 (u'(x)^2 + xu'(x) + x^2u(x)) dx.$$

(a) Discuss the minimum problem for $F(u)$ with boundary condition $u(0) = 4$.

(b) Discuss the minimum problem for $F(u)$ with boundary condition $u(0) = 4 + u(1)$.

$$L(x, s, p) = p^2 + xp + x^2s$$

$$(ELE) \quad (L_p)' = L_s \quad \leadsto \quad (2\dot{u} + x)' = x^2 \quad \leadsto \quad 2\ddot{u} + 1 = x^2$$

$$(NBC) \quad L_p = 0 \quad 2\dot{u}(x) + x = 0$$

(a) Any minimizer (if any) solves

$$\ddot{u} = \frac{1}{2}x^2 - \frac{1}{2} \quad \leadsto \quad u(x) = \frac{x^4}{24} - \frac{x^2}{4} + ax + b$$

$$u(0) = 4$$

$$\dot{u}(x) = \frac{x^3}{6} - \frac{x}{2} + a$$

$$2\dot{u}(1) + 1 = 0$$

$$\leadsto 2\left(\frac{1}{6} - \frac{1}{2} + a\right) = -1 \quad \leadsto \quad -\frac{2}{3} + 2a = -1 \quad \leadsto \quad a = -\frac{1}{6}$$

The unique solution is

$$u_0(x) = \frac{x^4}{24} - \frac{x^2}{4} - \frac{1}{6}x + 4$$

$$F(u_0 + v) = F(u_0) + \underbrace{\delta F(u_0, v)}_{=0} + \int_0^1 \dot{v}^2(x) dx \geq F(u_0)$$

with equality if and only if $\dot{v} \equiv 0 \leadsto v \equiv 0$ because $v(0) = 0$.

(b) $\inf = -\infty$ A possible minimizing sequence is

$$u_n(x) = -n - 4x.$$

2. Discuss existence, uniqueness, and regularity of functions $u : \mathbb{R} \rightarrow \mathbb{R}$ that are periodic and satisfy

$$u'' = u^3 + \sin^6 x \quad \forall x \in \mathbb{R}.$$

Step 1 The variational pbm

$$\min \left\{ \int \left(\frac{1}{2} u'^2 + \frac{1}{4} u^4 + \sin^6 x \cdot u \right) : u \in H^1((0, \pi)), u(0) = u(\pi) \right\}$$

admits a solution.

↑ NOT 2π

Indeed compactness follows from the estimate

$$\frac{1}{2} p^2 + \frac{1}{4} s^4 + \sin^6 x \cdot s \geq \frac{1}{2} p^2 + \frac{1}{8} s^4 - A$$

while LSC follows from the LSC of the wron for the term with u'^2 , and from the uniform convergence for the terms with u .

Step 2 The min. point is unique because the Lagrangian is strictly convex in the pair (p, s) .

Step 3 The min. point is of class C^∞ and satisfies the equation in classical sense in $[0, \pi]$ and the PBC $u(0) = u(\pi)$ and $u'(0) = u'(\pi)$. If we extend u to \mathbb{R} by periodicity, we obtain a function of class C^2 (need to check that $u'(0) = u'(\pi)$) that solves the given equation (this needs to be checked) and therefore it is of class C^∞ .

Step 4 Every periodic solution has a period T of the form $k\pi$ for some positive integer k .

Indeed, if u is T periodic, then also $\sin^6 x$ is T periodic.

Step 5 If \bar{u} is a solution with period $k\pi$, then \bar{u} is the same u that we found before. Indeed

→ \bar{u} is a minimizer of the corresponding variational pbm in $[0, k\pi]$ (usual argument $F(\bar{u}+v) \geq F(\bar{u})$)

→ \bar{u} is unique and solves (ELE) + PBC in $[0, k\pi]$

→ also u solves the same equation and is a minimizer to the same pbm.

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3. For every real number $r > 0$, let B_r denote the ball in the plane with center in the origin and radius r . For every positive integer m let us set

$$I(m, r) := \inf \left\{ \int_{B_r} (|\nabla u|^{20} - |u_x \cdot u^m|) \, dx \, dy : u \in W_0^{1,20}(B_r) \right\}.$$

- (a) Determine whether $I(1, r)$ is a real number.
 (b) Determine all positive integers m for which $I(m, r)$ is a real number for every $r > 0$.
 (c) Determine whether there exists admissible values of the parameters such that $I(m, r)$ is a negative real number.
 (d) Determine whether $I(m, r) = 0$ for some admissible values of the parameters.

(a) **YES**

$$\begin{aligned} \int_{B_r} |\nabla u|^{20} - \int_{B_r} |u_x| \cdot |u| &\geq \int_{B_r} |\nabla u|^{20} - \left(\int_{B_r} u_x^2 \right)^{1/2} \left(\int_{B_r} u^2 \right)^{1/2} \\ &\stackrel{\text{Hölder}}{\geq} \int_{B_r} |\nabla u|^{20} - \left(\int_{B_r} |\nabla u|^2 \right)^{1/2} \cdot \text{const} \left(\int_{B_r} |\nabla u|^2 \right)^{1/2} \\ &\stackrel{\text{Poincaré}}{\geq} \int_{B_r} (|\nabla u|^{20} - \text{const} |\nabla u|^2) \geq -\text{const} \cdot \text{meas}(B_r) \\ &\stackrel{p^{20}-Ap^2 \geq -B \quad \forall p \in \mathbb{R}}{\geq} -B \end{aligned}$$

(b) **$m \leq 18$** we distinguish 3 cases.

- If $m \leq 18$ we argue as in the case $m=1$. The key point is that

$$\begin{aligned} \int_{B_r} |u_x| \cdot |u|^m &\stackrel{\text{Hölder}}{\leq} \left(\int_{B_r} |u_x|^{20} \right)^{\frac{1}{20}} \left(\int_{B_r} |u|^{m \frac{20}{19}} \right)^{\frac{19}{20m} \cdot m} \\ &\stackrel{\text{Sobolev-Poincaré}}{\leq} \left(\int_{B_r} |\nabla u|^{20} \right)^{\frac{1}{20}} \cdot \text{const} \left(\int_{B_r} |\nabla u|^{20} \right)^{\frac{m}{20}} \end{aligned}$$

with $q = \frac{20}{19}m$ and $p=20$

- If $m \geq 20$ then $I(m, r) = -\infty$ for every $r > 0$. It is enough to consider $u(x, y) = \lambda \varphi(x, y)$ with $\varphi \in C_c^\infty(B_r)$ and let $\lambda \rightarrow +\infty$.
- If $m = 19$ then $I(m, r) = 0$ if r is large. If we consider $u(x, y) = \varphi\left(\frac{x}{r}, \frac{y}{r}\right)$ with $\varphi \in C_c^\infty(B_1)$, then we discover that $F(u) < 0$ when r is large enough. Then consider λu with $\lambda \rightarrow +\infty$.

(c) **YES, when $m \leq 18$ for any $r > 0$** It is enough to consider any nontrivial $\varphi \in C_c^\infty(B_r)$ and observe that

$$F(\varepsilon \varphi) = \varepsilon^{20} \int |\nabla \varphi|^{20} - \varepsilon^{m+1} \int |\varphi_x| \cdot |\varphi| < 0 \quad \text{for } \varepsilon \text{ small.}$$

(d) **YES, when $m=19$ and r is small** The key idea is that, as in point (b) with $m \leq 18$, $\int_{B_r} |u_x| \cdot |u|^9 \leq \text{const}(r) \int_{B_r} |\nabla u|^{20}$, and $\text{const}(r) \rightarrow 0$ as $r \rightarrow 0^+$. More precisely, actually $a=19$ $\text{const}(r) = r^a \cdot \text{const}(1)$ for some $a > 0$ (usual homogeneity)

4. For every function $f : (0, 2) \rightarrow \mathbb{R}$, let us set

$$[Tf](x) := \arctan(f(x)) \quad \forall x \in (0, 2).$$

Determine whether the restriction of T defines

- (a) a strong-strong continuous operator $L^2((0, 2)) \rightarrow L^2((0, 2))$,
- (b) a weak-weak continuous operator $L^2((0, 2)) \rightarrow L^2((0, 2))$,
- (c) a compact operator $H^1((0, 2)) \rightarrow L^2((0, 2))$,
- (d) a compact operator $L^\infty((0, 2)) \rightarrow L^2((0, 2))$.

(a) **YES** It is actually 1-lip. continuous

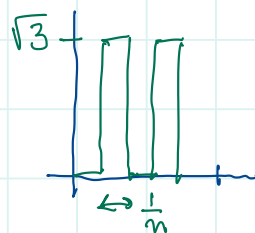
$$\int_0^2 |\arctan(f) - \arctan(g)|^2 \leq \int_0^2 |f - g|^2$$

\uparrow
arctan is 1-lip

(b) **NO** Consider f_n that alternates 0 and $\sqrt{3}$. Then $f_n \rightarrow \frac{\sqrt{3}}{2}$.

On the other hand, Tf_n alternates

0 and $\frac{\pi}{3}$, and therefore $Tf_n \rightarrow \frac{\pi}{6} \neq \arctan\left(\frac{\sqrt{3}}{2}\right)$
"arctan($\frac{\sqrt{3}}{3}$)"



(c) **YES** If $\{f_n\}$ is bounded in H^1 , then $\{f_n\}$ is equi $\frac{1}{2}$ -Hölder, and therefore $\{\arctan(f_n)\}$ is equibounded in $L^\infty((0, 2))$ and equi $\frac{1}{2}$ -Hölder.

Ascoli - Arzelà theorem \Rightarrow there exists $f_{n_k} \rightarrow f_0$ unif. in $[0, 2]$, and hence a fortiori $f_{n_k} \rightarrow f_0$ in $L^2((0, 2))$

(d) **NO** Consider f_n that alternates ± 1 .

Then $\{f_n\}$ is clearly bounded in L^∞ .

On the other hand, Tf_n alternates

$\pm \frac{\pi}{4}$, and therefore $Tf_n \rightarrow 0$ weakly in L^2 .

In particular, if $Tf_{n_k} \rightarrow g$ strongly in L^2 , then necessarily $g \equiv 0$, and therefore $\|Tf_{n_k}\|_{L^2} \rightarrow 0$, which is clearly impossible.
"constant $\neq 0$ "

